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$\pi/2$ -ANGLE YAO GRAPHS ARE SPANNERS

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ABSTRACT

We show that the Yao graph Y_4 in the L_2 metric is a spanner with stretch factor $8\sqrt{2}(26+23\sqrt{2})$. Enroute to this, we also show that the Yao graph Y_4^∞ in the L_∞ metric is a plane spanner with stretch factor 8.

Keywords: Yao graph; Y_4 ; spanner.

1. Introduction

Let V be a finite set of points in the plane and let $G = (V, E)$ be the complete Euclidean graph on V . We will refer to the points in V as *nodes*, to distinguish them from other points in the plane. The *Yao graph*⁸ with an integer parameter $k > 0$, denoted Y_k , is defined as follows. Any k equally-separated rays starting at the origin define k cones. Pick a set of arbitrary, but fixed cones. Translate the cones to each node $u \in V$. In each cone with apex u , pick a shortest edge uv , if there is one, and add to Y_k the directed edge \vec{uv} . Ties are broken arbitrarily. Note that the Yao graph differs from the Θ -graph in how the shortest edge is chosen. While the Yao graph chooses the shortest edge in terms of the Euclidean distance, the Θ -graph chooses the edge whose projection on the bisector of the cone is shortest. Most of the time we ignore the direction of an edge uv ; we refer to the directed version \vec{uv} of uv only when its origin (u) is important and unclear from the context. We will distinguish between Y_k , the Yao graph in the Euclidean L_2 metric, and Y_k^∞ , the Yao graph in the L_∞ metric. Unlike Y_k however, in constructing Y_k^∞ ties are broken by always selecting the *most clockwise* edge; the reason for this choice will become clear in Section 2.

The *length* of a path is the sum of the lengths of its constituent edges. For a given subgraph $H \subseteq G$ and a fixed $t \geq 1$, H is called a *t-spanner* for G if, for any two nodes $u, v \in V$, the shortest path in H from u to v is no longer than t times the length $|uv|$ of uv . The value t is called the *dilation* or the *stretch factor* of H . If t is constant, then H is called a *length spanner*, or simply a *spanner*.

The class of graphs Y_k has been much studied. Bose et al.² showed that, for $k \geq 9$, Y_k is a spanner with stretch factor $\frac{1}{\cos \frac{2\pi}{k} - \sin \frac{2\pi}{k}}$. In Ref.¹ we improved the stretch factor and showed that, in fact, Y_k is a spanner for any $k \geq 7$. Recently, Damian and Raudonis⁴ showed that Y_6 is a 17.7-spanner. Molla⁶ showed that Y_2 and Y_3 are not spanners, and that Y_4 is a spanner with stretch factor $4(2 + \sqrt{2})$, for the special case when the nodes in V are in convex position (see also Ref.³). The authors conjectured that Y_4 is a spanner for arbitrary point sets. In this paper, we settle their conjecture and prove that Y_4 is a spanner with stretch factor $8\sqrt{2}(26 + 23\sqrt{2})$.

The paper is organized as follows. In Section 2, we prove that the graph Y_4^∞ is a spanner with stretch factor 8. In Section 3 we establish several properties for the graph Y_4 . Finally, in Section 4, we use the properties of Section 3 to prove that, for every edge ab in Y_4^∞ , there exists a path between a and b in Y_4 not much longer than the Euclidean distance between a and b . By combining this with the result of Section 2, we conclude that Y_4 is a spanner.

2. Y_4^∞ in the L_∞ Metric

In this section we focus on Y_4^∞ , which has a nicer structure compared to Y_4 . First we prove that Y_4^∞ is a plane graph. Then we use this property to show that Y_4^∞ is an 8-spanner. To be more precise, we prove that for any two nodes a and b , the graph Y_4^∞ contains a path between a and b whose length (in the L_∞ -metric) is at most $8|ab|_\infty$.

We need a few definitions. We say that two edges ab and cd *properly cross* (or *cross*, for short) if they share a point other than an endpoint (a, b, c or d); we say that ab and cd *intersect* if they share a point (either an interior point or an endpoint).

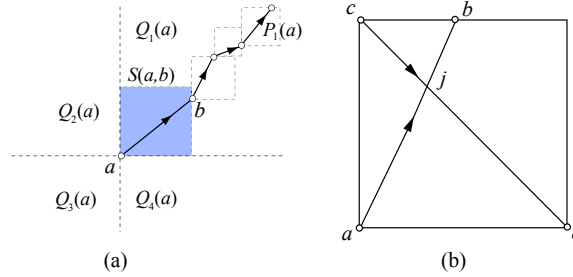


Fig. 1. (a) Definitions: $Q_i(a)$, $P_i(a)$ and $S(a, b)$. (b) Lemma 1: ab and cd cannot cross.

Throughout the paper, we will use the following notation: for each node $a \in V$, $x(a)$ is the x -coordinate of a and $y(a)$ is the y -coordinate of a ; $Q_1(a)$, $Q_2(a)$, $Q_3(a)$ and $Q_4(a)$ are the four quadrants at a , depicted in Fig. 1a; each quadrant is half-open and half-closed, including all points on the clockwise boundary axis (with respect to the quadrant bisector through a), and excluding all points on the counterclockwise boundary axis; $P_i(a)$ is the path that starts at a and follows the directed Yao edges in quadrant Q_i ; $P_i(a, b)$ is the subpath of $P_i(a)$ that starts at node a and ends at node b ; $|ab|_\infty$ is the L_∞ distance between a and b , defined as $\max\{|x(a) - x(b)|, |y(a) - y(b)|\}$; $sp(a, b)$ is a shortest path in Y_4^∞ between a and b ; $S(a, b)$ is the open square with corner a whose boundary contains b ; and $\partial S(a, b)$ is the boundary of $S(a, b)$. These definitions are depicted in Fig. 1a.

Lemma 1. Y_4^∞ is a plane graph.

Proof. The proof is by contradiction. Assume the opposite. Then there are two edges $\vec{ab}, \vec{cd} \in Y_4^\infty$ that cross each other. Since $\vec{ab} \in Y_4^\infty$, $S(a, b)$ must be empty of nodes in V , and similarly for $S(c, d)$. Let j be the intersection point between ab and cd . Then $j \in S(a, b) \cap S(c, d)$, meaning that $S(a, b)$ and $S(c, d)$ must overlap. However, neither square may contain a, b, c or d . It follows that $S(a, b)$ and $S(c, d)$ coincide, meaning that c and d lie on $\partial S(a, b)$ (see Fig. 1b). Since cd intersects ab ,

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c and d must lie on opposite sides of ab . Thus either ac or ad lies clockwise from ab . Assume without loss of generality that ad lies clockwise from ab ; the other case is identical. Because $S(a, c)$ coincides with $S(a, b)$, we have that $|ad|_\infty = |ab|_\infty$. In this case however, Y_4^∞ would break the tie between ad and ab by selecting the most clockwise edge, which is \overrightarrow{ad} . (Note that, by the definition of a quadrant, ad belongs to the quadrant of a containing b .) This contradicts that $\overrightarrow{ab} \in Y_4^\infty$. \square

Theorem 1. Y_4^∞ is an 8-spanner in the L_∞ metric.

Proof. We show that, for any pair of points $a, b \in V$, $|sp(a, b)|_\infty < 8|ab|_\infty$. The proof is by induction on the pairwise L_∞ -distance between the points in V . Assume without loss of generality that $b \in Q_1(a)$, and $|ab|_\infty = |x(b) - x(a)|$ (i.e., b lies below the diagonal of $S(a, b)$ incident to a). Consider the case in which ab is a closest (in the L_∞ metric) pair of points in V . This is the base case for our induction. If $ab \in Y_4^\infty$, then $|sp(a, b)|_\infty = |ab|_\infty$. Otherwise, there must be $ac \in Y_4^\infty$, with $|ac|_\infty = |ab|_\infty$. Recall that Y_4^∞ breaks ties by always selecting the most clockwise edge, so ac must be clockwise of ab . Then c must lie on the right side of the right triangle formed by a, b , and the lower right corner of $S(a, b)$. If b lies strictly below the upper right corner of $S(a, b)$, or if c lies strictly above the lower right corner of $S(a, b)$, then $|bc|_\infty < |ab|_\infty$, contradicting our choice of ab . For similar reasons, no points in V may lie along the segment bc . This along with the fact that $b \in Q_2(c)$, $S(c, b)$ is empty, and cb is a most clockwise edge in $Q_2(c)$, implies that $cb \in Y_4$. Then (a, c, b) is a path in Y_4 from a to b no longer than $2|ab|_\infty$.

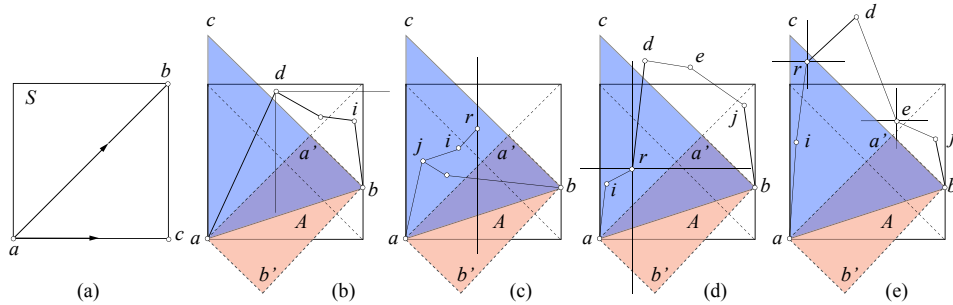


Fig. 2. (a) Base case. (b) $\triangle abc$ empty (c) $\triangle abc$ non-empty, $P_{ar} \cap P_2(b) = \{j\}$ (d) $\triangle abc$ non-empty, $P_{ar} \cap P_2(b) = \emptyset$, e above r (e) $\triangle abc$ non-empty, $P_{ar} \cap P_2(b) = \emptyset$, e below r .

Assume now that the inductive hypothesis holds for all pairs of points closer (in the L_∞ metric) than $|ab|_\infty$. If $ab \in Y_4^\infty$, then $|sp(a, b)|_\infty = |ab|_\infty$ and the proof is finished. If $ab \notin Y_4^\infty$, then the square $S(a, b)$ must be nonempty.

Let A be the rectangle $ab'ba'$ as in Fig. 2b, where ba' and bb' are parallel to the diagonals of $S(a, b)$. If A is nonempty, then we can use induction to prove

that $|sp(a, b)|_\infty \leq 8|ab|_\infty$ as follows. Pick $c \in A$ arbitrary. Then $|ac|_\infty + |cb|_\infty = |x(c) - x(a)| + |x(b) - x(c)| = |ab|_\infty$, and by the inductive hypothesis $sp(a, c) \oplus sp(c, b)$ is a path in Y_4^∞ no longer than $8|ac|_\infty + 8|cb|_\infty = 8|ab|_\infty$; here \oplus represents the concatenation operator. Assume now that A is empty. Let c be at the intersection between the line supporting ba' and the vertical line through a (see Fig. 2b). We discuss two cases, depending on whether $\triangle abc$ is empty of points or not.

Case 1: $\triangle abc$ is empty of points. Let $ad \in P_1(a)$. We show that $P_4(d)$ cannot contain an edge crossing ab . Assume the opposite, and let $st \in P_4(d)$ cross ab . Note that $st \in P_4(d)$ also implies $st \in P_4(s)$, which along with the fact that st crosses ab , implies that s is either vertically aligned, or to the left of b . Since $\triangle abc$ is empty, s must lie above bc and t below ab . It follows that b and t are in the same quadrant $Q_4(s)$ (recall that this quadrant includes the downward ray from s). Furthermore, $|st|_\infty \geq |y(s) - y(t)| > |y(s) - y(b)| = |sb|_\infty$, contradicting the fact that $st \in Y_4^\infty$.

We have established that $P_4(d)$ does not cross ab , which implies that $P_4(d)$ must exit $S(d, b)$ through its right edge. Also note that $P_2(b)$ cannot cross ac , because $\triangle abc$ is empty of points, and any point left of ac is L_∞ -farther from b than d . It follows that $P_2(b)$ exits $S(b, d)$ through its top edge. This together with the fact that $P_4(d)$ exits $S(d, b)$ through its right edge, implies that $P_4(d)$ and $P_2(b)$ must meet in a point $i \in P_4(d) \cap P_2(b)$ (see Fig. 2b). Now note that $|P_4(d, i) \oplus P_2(b, i)|_\infty \leq |x(d) - x(b)| + |y(d) - y(b)| < 2|ab|_\infty$. Thus we have that $|sp(a, b)|_\infty \leq |ad \oplus P_4(d, i) \oplus P_2(b, i)|_\infty < |ab|_\infty + 2|ab|_\infty = 3|ab|_\infty$.

Case 2: $\triangle abc$ is nonempty. In this case, we seek a short path from a to b that does not cross to the underside of ab , to avoid oscillating paths that cross ab arbitrarily many times. Let r be the rightmost point that lies inside $\triangle abc$. Arguments similar to the ones used in Case 1 show that $P_3(r)$ cannot cross ab and therefore it must meet $P_1(a)$ in a point i . Then $P_{ar} = P_1(a, i) \oplus P_3(r, i)$ is a path in Y_4^∞ of length

$$|P_{ar}|_\infty < |x(a) - x(r)| + |y(a) - y(r)| < |ab|_\infty + 2|ab|_\infty = 3|ab|_\infty. \quad (1)$$

The term $2|ab|_\infty$ in the inequality above results from the fact that $|y(a) - y(r)| \leq |y(a) - y(c)| \leq 2|ab|_\infty$. Consider first the simpler situation in which $P_2(b)$ meets P_{ar} in a point $j \in P_2(b) \cap P_{ar}$ (see Fig. 2c). Let $P_{ar}(a, j)$ be the subpath of P_{ar} extending between a and j . Then $P_{ar}(a, j) \oplus P_2(b, j)$ is a path in Y_4^∞ from a to b , therefore $|sp(a, b)|_\infty \leq |P_{ar}(a, j) \oplus P_2(b, j)|_\infty < 2|y(j) - y(a)| + |ab|_\infty \leq 5|ab|_\infty$.

Consider now the case when $P_2(b)$ does not intersect P_{ar} . We argue that, in this case, $Q_1(r)$ may not be empty. Assume the opposite. Then no edge $st \in P_2(b)$ may cross $Q_1(r)$. This is because, for any such edge, $|sr|_\infty < |st|_\infty$, contradicting $st \in Y_4^\infty$. This implies that $P_2(b)$ intersects P_{ar} , again a contradiction to our assumption. This establishes that $Q_1(r)$ is nonempty. Let $rd \in P_1(r)$. The fact that $P_2(b)$ does not intersect P_{ar} implies that d lies to the left of b . The fact that r is the rightmost point in $\triangle abc$ implies that d lies outside $\triangle abc$ (see Fig. 2d). It also implies that $P_4(d)$ shares no points with $\triangle abc$. This along with arguments similar to the ones

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used in case 1 show that $P_4(d)$ and $P_2(b)$ meet in a point $j \in P_4(d) \cap P_2(b)$. Thus we have found a path

$$P_{ab} = P_1(a, i) \oplus P_3(r, i) \oplus rd \oplus P_4(d, j) \oplus P_2(b, j). \quad (2)$$

extending from a to b in Y_4^∞ . If $|rd|_\infty = |x(d) - x(r)|$, then $|rd|_\infty < |x(b) - x(a)| = |ab|_\infty$, and the path P_{ab} has length

$$|P_{ab}|_\infty \leq 2|y(d) - y(a)| + |ab|_\infty < 7|ab|_\infty. \quad (3)$$

In the above, we used the fact that $|y(d) - y(a)| = |y(d) - y(r)| + |y(r) - y(a)| < |ab|_\infty + 2|ab|_\infty$. Suppose now that

$$|rd|_\infty = |y(d) - y(r)|. \quad (4)$$

In this case, it is unclear whether the path P_{ab} defined by (2) is short, since rd can be arbitrarily long compared to ab . Let e be the clockwise neighbor of d along the path P_{ab} (e and b may coincide). Then e lies below d , and either $de \in P_4(d)$, or $ed \in P_2(e)$ (or both). If e lies above r , or at the same level as r (i.e., $e \in Q_1(r)$, as in Fig. 2d), then

$$|y(e) - y(r)| < |y(d) - y(r)|. \quad (5)$$

Since $rd \in P_1(r)$ and e is in the same quadrant of r as d , we have $|rd|_\infty \leq |re|_\infty$. This along with inequalities (4) and (5) implies $|re|_\infty > |y(e) - y(r)|$, which in turn implies $|re|_\infty = |x(e) - x(r)| \leq |ab|_\infty$, and so $|rd|_\infty \leq |ab|_\infty$. Then inequality (3) applies here as well, showing that $|P_{ab}|_\infty < 7|ab|_\infty$.

If e lies below r (as in Fig. 2e), then

$$|ed|_\infty \geq |y(d) - y(e)| \geq |y(d) - y(r)| = |rd|_\infty. \quad (6)$$

Assume first that $ed \in P_2(e)$, or $|ed|_\infty = |x(e) - x(d)|$. In either case, $|ed|_\infty \leq |er|_\infty < 2|ab|_\infty$. This along with inequality (6) shows that $|rd|_\infty < 2|ab|_\infty$. Substituting this upper bound in (2), we get $|P_{ab}|_\infty \leq 2|y(d) - y(a)| + 2|ab|_\infty < 8|ab|_\infty$. Assume now that $ed \notin P_2(e)$, and $|ed|_\infty = |y(e) - y(d)|$. Then $ee' \in P_2(e)$ cannot go above d (otherwise $|ed|_\infty < |ee'|_\infty$, contradicting $ee' \in P_2(e)$). This along with the fact $de \in P_4(d)$ implies that $P_2(e)$ intersects P_{ar} in a point k . Redefine $P_{ab} = P_{ar}(a, k) \oplus P_2(e, k) \oplus P_4(e, j) \oplus P_2(b, j)$. Then P_{ab} is a path in Y_4^∞ from a to b of length $|P_{ab}| \leq 2|y(r) - y(a)| + |ab|_\infty \leq 5|ab|_\infty$. \square

This theorem will be employed in Section 4.

3. Y_4 in the L_2 Metric

In this section we establish basic properties of Y_4 . The ultimate goal of this section is to show that, if two edges in Y_4 cross, there is a short path between their endpoints (Lemma 8). We begin with a few definitions.

Let $Q(a, b)$ denote the quadrant with origin at a that contains b . In other words, $Q(a, b) = Q_i(a)$, where $Q_i(a)$ contains b . For a pair of nodes $a, b \in V$, define

recursively a directed path $\mathcal{P}(a \rightarrow b)$ from a to b in Y_4 as follows. If $a = b$, then $\mathcal{P}(a \rightarrow b) = \text{null}$. If $a \neq b$, there must exist $\vec{ac} \in Y_4$ that lies in $Q(a, b)$. In this case, define

$$\mathcal{P}(a \rightarrow b) = \vec{ac} \oplus \mathcal{P}(c \rightarrow b).$$

Recall that \oplus represents the concatenation operator. This definition is illustrated in Fig. 3a. Fischer et al. ⁵ show that $\mathcal{P}(a \rightarrow b)$ is well defined and lies entirely inside the square centered at b whose boundary contains a .

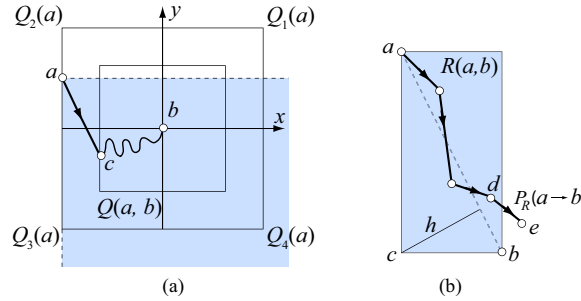


Fig. 3. Definitions. (a) $Q(a, b)$ and $\mathcal{P}(a \rightarrow b)$. (b) $\mathcal{P}_R(a \rightarrow b)$.

For any path P and any pair of nodes $a, b \in P$, let $P[a, b]$ be the subpath of P from a to b . Let $R(a, b)$ be the closed axis-aligned rectangle with diagonal ab (we permit $R(a, b)$ to be degenerate rectangle, when ab is either horizontal or vertical).

For a fixed pair of nodes $a, b \in V$, define a path $\mathcal{P}_R(a \rightarrow b)$ as follows. Let $e \in V$ be the first node along $\mathcal{P}(a \rightarrow b)$ that is not strictly interior to $R(a, b)$. Then $\mathcal{P}_R(a \rightarrow b)$ is the subpath of $\mathcal{P}(a \rightarrow b)$ that extends between a and e . In other words, $\mathcal{P}_R(a \rightarrow b)$ is the path that follows the Y_4 edges pointing towards b , truncated as soon as it reaches b or leaves $R(a, b)$. Formally, $\mathcal{P}_R(a \rightarrow b) = \mathcal{P}(a \rightarrow b)[a, e]$. This definition is illustrated in Fig. 3b. Our proofs will make use of the following two propositions.

Proposition 1. *The sum of the lengths of crossing diagonals of a non-degenerate (necessarily convex) quadrilateral $abcd$ is strictly greater than the sum of the lengths of either pair of opposite sides:*

$$\begin{aligned} |ac| + |bd| &> |ab| + |cd| \\ |ac| + |bd| &> |bc| + |da|. \end{aligned}$$

This can be proved by partitioning the diagonals into two pieces each at their intersection point, and then applying the triangle inequality twice.

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Proposition 2. For any triangle $\triangle abc$, the following inequalities hold:

$$|ac|^2 \begin{cases} < |ab|^2 + |bc|^2, & \text{if } \angle abc < \pi/2 \\ = |ab|^2 + |bc|^2, & \text{if } \angle abc = \pi/2 \\ > |ab|^2 + |bc|^2, & \text{if } \angle abc > \pi/2 \end{cases}$$

This proposition follows immediately from the Law of Cosines applied to triangle $\triangle abc$.

Lemma 2. For each pair of nodes $a, b \in V$,

$$|\mathcal{P}_R(a \rightarrow b)| \leq |ab|\sqrt{2}. \quad (7)$$

Furthermore, each edge of $\mathcal{P}_R(a \rightarrow b)$ is no longer than $|ab|$.

Proof. Let c be one of the two corners of $R(a, b)$, other than a and b . Let $\vec{de} \in \mathcal{P}_R(a \rightarrow b)$ be the last edge on $\mathcal{P}_R(a \rightarrow b)$, which necessarily intersects $\partial R(a, b)$ (note that it is possible that $e = b$). Refer to Fig. 3b. Then $|de| \leq |db|$, otherwise \vec{de} could not be in Y_4 . Since db lies in the rectangle with diagonal ab , we have that $|db| \leq |ab|$, and similarly for each edge on $\mathcal{P}_R(a \rightarrow b)$. This establishes the latter claim of the lemma. For the first claim of the lemma, let $p = \mathcal{P}_R(a \rightarrow b)[a, d] \oplus db$. Since $|de| \leq |db|$, we have that $|\mathcal{P}_R(a \rightarrow b)| \leq |p|$. Since p lies entirely inside $R(a, b)$ and consists of edges pointing towards b , we have that p is an xy -monotone path (i.e., any line parallel to a coordinate axis intersects p in at most one point). It follows that $|p| \leq |ac| + |cb|$, which is bounded above by $|ab|\sqrt{2}$. \square

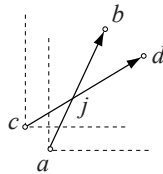


Fig. 4. Lemma 3: if ab and cd cross, they cannot both be in Y_4 .

Lemma 3. Let $a, b, c, d \in V$ be four disjoint nodes such that $\vec{ab}, \vec{cd} \in Y_4$, $b \in Q_i(a)$ and $d \in Q_i(c)$, for some $i \in \{1, 2, 3, 4\}$. Then ab and cd cannot cross.

Proof. We may assume without loss of generality that $i = 1$ and c is to the left of a . The proof is by contradiction. Assume that ab and cd cross each other. Let j be the intersection point between ab and cd (see Fig. 4). Since $j \in Q_1(a) \cap Q_1(c)$,

it follows that $d \in Q_1(a)$ and $b \in Q_1(c)$. Thus $|ab| \leq |ad|$, because otherwise, \vec{ab} cannot be in Y_4 . By Proposition 1 applied to the quadrilateral $adbc$,

$$|ad| + |cb| < |ab| + |cd|.$$

This along with $|ab| \leq |ad|$ implies that $|cb| < |cd|$, contradicting that $\vec{cd} \in Y_4$. \square

The next four lemmas (4–7) each concern a pair of crossing Y_4 edges, culminating (in Lemma 8) in the conclusion that there is a short path in Y_4 between a pair of endpoints of those edges. We choose to defer the proofs of lemmas 4–6 to the appendix, for a better understanding of the logical flow of our analysis.

Lemma 4. *Let a, b, c and d be four disjoint nodes in V such that $\vec{ab}, \vec{cd} \in Y_4$, and ab crosses cd . Then (i) the ratio between the shortest side and the longer diagonal of the quadrilateral $acbd$ is no greater than $1/\sqrt{2}$, and (ii) the shortest side of the quadrilateral $acbd$ is strictly shorter than either diagonal.*

Lemma 5. *Let a, b, c, d be four distinct nodes in V , with $c \in Q_1(a)$, such that (i) $\vec{ab} \in Q_1(a)$ and $\vec{cd} \in Q_2(c)$ are in Y_4 and cross each other, and (ii) ad is a shortest side of quadrilateral $acbd$. Then $\mathcal{P}_R(a \rightarrow d)$ and $\mathcal{P}_R(d \rightarrow a)$ have a nonempty intersection.*

Lemma 6. *Let a, b, c, d be four distinct nodes in V , with $c \in Q_1(a)$, such that (i) $\vec{ab} \in Q_1(a)$ and $\vec{cd} \in Q_3(c)$ are in Y_4 and cross each other, and (ii) ad is a shortest side of quadrilateral $acbd$. Then $\mathcal{P}_R(d \rightarrow a)$ does not cross ab .*

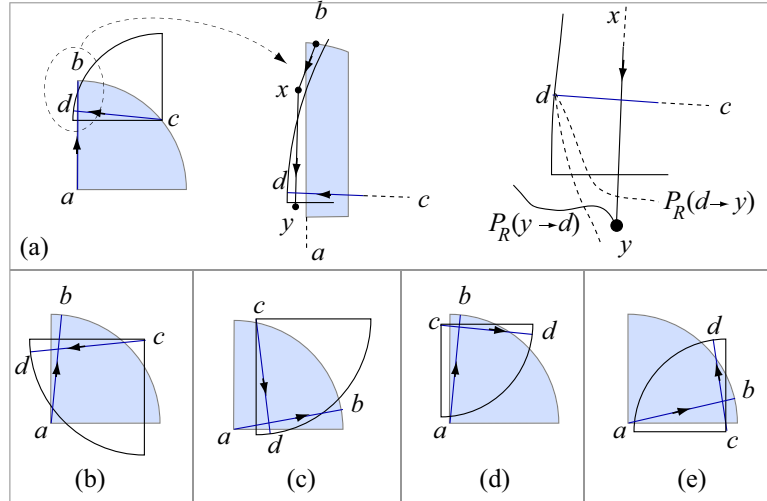
The next lemma relies on all of Lemmas 2–6.

Lemma 7. *Let $a, b, c, d \in V$ be four distinct nodes such that $\vec{ab} \in Y_4$ crosses $\vec{cd} \in Y_4$, and let xy be a shortest side of the quadrilateral $acbd$. Then there exist two paths \mathcal{P}_x and \mathcal{P}_y in Y_4 , where \mathcal{P}_x has x as an endpoint and \mathcal{P}_y has y as an endpoint, with the following properties:*

- (i) \mathcal{P}_x and \mathcal{P}_y have a nonempty intersection.
- (ii) $|\mathcal{P}_x| + |\mathcal{P}_y| \leq 3\sqrt{2}|xy|$.
- (iii) Each edge on $\mathcal{P}_x \cup \mathcal{P}_y$ is no longer than $|xy|$.

Proof. Assume without loss of generality that $b \in Q_1(a)$. We discuss the following exhaustive cases:

- (1) $c \in Q_1(a)$, and $d \in Q_1(c)$. In this case, ab and cd cannot cross each other (by Lemma 3), so this case is finished.
- (2) $c \in Q_1(a)$, and $d \in Q_2(c)$, as in Fig. 5a. Since $\vec{ab} \in Y_4$, $|ab| \leq |ac|$. Since ab crosses cd , and $|ab| \leq |ac|$, $b \in Q_2(c)$. Since $\vec{cd} \in Y_4$, $|cd| \leq |cb|$. These along with Lemma 4 imply that ad and db are the only candidates for a shortest edge of $acbd$. Assume first that ad is a shortest edge of $acbd$. By Lemma 3,


 Fig. 5. Lemma 7: (a, b) $c \in Q_1(a)$ (c) $c \in Q_2(a)$ (d) $c \in Q_4(a)$.

$\mathcal{P}_a = \mathcal{P}_R(a \rightarrow d)$ does not cross cd , because $\mathcal{P}_a \in Q_2(a)$ and $cd \in Q_2(c)$ are in the quadrants of identical indices. It follows from Lemma 5 that \mathcal{P}_a and $\mathcal{P}_d = \mathcal{P}_R(d \rightarrow a)$ have a nonempty intersection. Furthermore, by Lemma 2, $|\mathcal{P}_a| \leq |ad|\sqrt{2}$ and $|\mathcal{P}_d| \leq |ad|\sqrt{2}$, and no edge on these paths is longer than $|ad|$, proving the lemma true for this case. Consider now the case when db is a shortest edge of $acbd$ (see Fig. 5a). Note that d is below b (otherwise, $d \in Q_2(c)$ and $|cd| > |cb|$) and, therefore, $b \in Q_1(d)$. By Lemma 3, $\mathcal{P}_d = \mathcal{P}_R(d \rightarrow b)$ does not cross ab , because $\mathcal{P}_d \in Q_1(d)$ and $ab \in Q_1(a)$. If $\mathcal{P}_b = \mathcal{P}_R(b \rightarrow d)$ does not cross cd , then \mathcal{P}_b and \mathcal{P}_d have a nonempty intersection, proving the lemma true for this case. Otherwise, there exists $\overrightarrow{xy} \in \mathcal{P}_R(b \rightarrow d)$ that crosses cd (see Fig. 5a). Define

$$\begin{aligned} \mathcal{P}_b &= \mathcal{P}_R(b \rightarrow d) \oplus \mathcal{P}_R(y \rightarrow d) \\ \mathcal{P}_d &= \mathcal{P}_R(d \rightarrow y). \end{aligned}$$

By Lemma 3, $\mathcal{P}_R(y \rightarrow d)$ does not cross cd , because they are both in quadrant Q_2 . Then \mathcal{P}_b and \mathcal{P}_d must have a nonempty intersection. We now show that \mathcal{P}_b and \mathcal{P}_d satisfy conditions (i) and (iii) of the lemma. Proposition 1 applied on the quadrilateral $xdyc$ tells us that $|xc| + |yd| < |xy| + |cd|$. We also have that $|cx| \geq |cd|$, since $\overrightarrow{cd} \in Y_4$ and x is in the same quadrant of c as d . This along with the inequality above implies $|yd| < |xy|$. Because $xy \in \mathcal{P}_R(b \rightarrow d)$, by Lemma 2 we have that $|xy| \leq |bd|$, which along with the previous inequality shows that $|yd| < |bd|$. This along with Lemma 2 shows that condition (iii) of the lemma is satisfied. Furthermore, $|\mathcal{P}_R(y \rightarrow d)| \leq |yd|\sqrt{2}$ and $|\mathcal{P}_R(d \rightarrow y)| \leq |yd|\sqrt{2}$. It follows that $|\mathcal{P}_b| + |\mathcal{P}_d| \leq 3\sqrt{2}|bd|$.

- (3) $c \in Q_1(a)$, and $d \in Q_3(c)$, as in Fig. 5b. Then $|ac| \geq \max\{ab, cd\}$, and by Lemma 4 ac is not a shortest edge of $abcd$. The case when bd is a shortest edge of $abcd$ is settled by Lemmas 3 and 2: Lemma 3 tells us that $\mathcal{P}_d = \mathcal{P}_R(d \rightarrow b)$ does not cross ab , (because they are both in Q_1 .) and $\mathcal{P}_b = \mathcal{P}_R(b \rightarrow d)$ does not cross cd (because they are both in Q_3). It follows that \mathcal{P}_d and \mathcal{P}_b have a nonempty intersection. Furthermore, Lemma 2 guarantees that \mathcal{P}_d and \mathcal{P}_b satisfy conditions (ii) and (iii) of the lemma. Consider now the case when ad is a shortest edge of $abcd$; the case when bc is shortest is symmetric. By Lemma 6, $\mathcal{P}_R(d \rightarrow a)$ does not cross ab . If $\mathcal{P}_R(a \rightarrow d)$ does not cross cd , then this case is settled: $\mathcal{P}_d = \mathcal{P}_R(d \rightarrow a)$ and $\mathcal{P}_a = \mathcal{P}_R(a \rightarrow d)$ satisfy the three conditions of the lemma. Otherwise, let $\overrightarrow{xy} \in \mathcal{P}_R(a \rightarrow d)$ be the edge crossing cd . Arguments similar to the ones used in case 1 above show that $\mathcal{P}_a = \mathcal{P}_R(a \rightarrow d) \oplus \mathcal{P}_R(y \rightarrow d)$ and $\mathcal{P}_d = \mathcal{P}_R(d \rightarrow y)$ are two paths that satisfy the conditions of the lemma.
- (4) $c \in Q_1(a)$, and $d \in Q_4(c)$, as in Fig. 5c. Note that a horizontal reflection of Fig. 5c, followed by a rotation of $\pi/2$, depicts a case identical to case (2), Fig. 5a, which has already been settled.
- (5) $c \in Q_2(a)$, as in Fig. 5d. Note that Fig. 5d rotated by $\pi/2$ depicts a case identical to case (2), Fig. 5a (with the roles of ab and cd switched), which has already been settled.
- (6) $c \in Q_3(a)$. Then it must be that $d \in Q_1(c)$, otherwise cd cannot cross ab . By Lemma 3 however, ab and cd may not cross, unless one of them is not in Y_4 .
- (7) $c \in Q_4(a)$. By Lemma 3, d may not lie in $Q_1(c)$, therefore d must be in $Q_2(c)$, as in Fig. 5e. Note that a vertical reflection of Fig. 5e depicts a case identical to case (2), Fig. 5a (with the roles of ab and cd switched), so this case is settled as well. \square

We are now ready to establish the main lemma of this section, showing that there is a short path between the endpoints of two intersecting edges in Y_4 .

Lemma 8. *Let $a, b, c, d \in V$ be four distinct nodes such that $\overrightarrow{ab} \in Y_4$ crosses $\overrightarrow{cd} \in Y_4$, and let xy be a shortest side of the quadrilateral $abcd$. Then Y_4 contains a path $p(x, y)$ connecting x and y , of length $|p(x, y)| \leq \frac{6}{\sqrt{2}-1} \cdot |xy|$. Furthermore, no edge on $p(x, y)$ is longer than $|xy|$.*

Proof. Let \mathcal{P}_x and \mathcal{P}_y be the two paths whose existence in Y_4 is guaranteed by Lemma 7. By condition (iii) of Lemma 7, no edge on \mathcal{P}_x and \mathcal{P}_y is longer than $|xy|$. By condition (i) of Lemma 7, \mathcal{P}_x and \mathcal{P}_y have a nonempty intersection. If \mathcal{P}_x and \mathcal{P}_y share a node $u \in V$, then the path $p(x, y) = \mathcal{P}_x[x, u] \oplus \mathcal{P}_y[y, u]$ is a path from x to y in Y_4 no longer than $3\sqrt{2}|xy|$; the length restriction follows from guarantee (ii) of Lemma 7. Otherwise, let $\overrightarrow{a'b'} \in \mathcal{P}_x$ and $\overrightarrow{c'd'} \in \mathcal{P}_y$ be two edges crossing each other. Let $x'y'$ be a shortest side of the quadrilateral $a'c'b'd'$, with $x' \in \mathcal{P}_x$ and $y' \in \mathcal{P}_y$. Lemma 7 tells us that $|a'b'| \leq |xy|$ and $|c'd'| \leq |xy|$. These along with

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Lemma 4 imply that

$$|x'y'| \leq |xy|/\sqrt{2}. \quad (8)$$

This enables us to derive a recursive formula for computing a path $p(x, y) \in Y_4$ as follows:

$$p(x, y) = \begin{cases} x, & \text{if } x = y \\ \mathcal{P}_x[x, x'] \oplus \mathcal{P}_y[y, y'] \oplus p(x', y'), & \text{if } x \neq y. \end{cases} \quad (9)$$

Next we use induction on the length of xy to prove the claim of the lemma. The base case corresponds to $x = y$. In this case $p(x, y)$ degenerates to a point and $|p(x, y)| = 0$. To prove the inductive step, pick a shortest side xy of a quadrilateral $acbd$, with $\vec{ab}, \vec{cd} \in Y_4$ crossing each other, and assume that the lemma holds for all such sides shorter than xy . Let $p(x, y)$ be the path determined recursively as in (9). By the inductive hypothesis, we have that $p(x', y')$ contains no edges longer than $|x'y'| \leq |xy|$, and

$$|p(x', y')| \leq \frac{6}{\sqrt{2}-1}|x'y'| \leq \frac{6}{2-\sqrt{2}}|xy|. \quad (10)$$

This latter inequality follows from (8). Also recall that no edge on \mathcal{P}_x and \mathcal{P}_y is longer than $|xy|$, which together with formula (9) and the arguments above, implies that no edge on $p(x, y)$ is longer than $|xy|$. Substituting inequalities 10 and (ii) from Lemma 7 in formula (9) yields

$$|p(x, y)| \leq (3\sqrt{2} + \frac{6}{2-\sqrt{2}}) \cdot |xy| = \frac{6}{\sqrt{2}-1} \cdot |xy|.$$

This completes the proof. \square

4. Y_4^∞ and Y_4

The final step of our analysis is to prove that every individual edge of Y_4^∞ is spanned by a short path in Y_4 . This, along with the result of Theorem 1, establishes that Y_4 is a spanner.

Fix an edge $\vec{ab} \in Y_4^\infty$. Call an edge or a path t -short (with respect to $|ab|$) if its length is within a constant factor t of $|ab|$. In our proof that ab is spanned by a t -short path in Y_4 , we will make use of the following three statements (proved in the Appendix).

- S1** If xy is t -short, then $\mathcal{P}_R(x \rightarrow y)$, and therefore its reverse, $\mathcal{P}_R^{-1}(x \rightarrow y)$ are $t\sqrt{2}$ -short by Lemma 2.
- S2** If $xy \in Y_4$ is t_1 -short and $zw \in Y_4$ is t_2 -short, and if xy intersects zw , Lemma 4(ii) and Lemma 8 show that there is a t_3 -short path between any two of the endpoints of these edges, with $t_3 = t_1 + t_2 + 3(2 + \sqrt{2}) \max(t_1, t_2)$.
- S3** If $p(x, y)$ is a t_1 -short path and $p(z, w)$ is a t_2 -short path and these two paths intersect, then by **S2** there is a t_3 -short path P between any two of the endpoints of these paths, with $t_3 = t_1 + t_2 + 3(2 + \sqrt{2}) \max(t_1, t_2)$.

Lemma 9. Fix an edge $ab \in Y_4^\infty$. There is a path $p(a, b) \in Y_4$ between a and b , of length $|p(a, b)| \leq t|ab|$, for $t = 26 + 23\sqrt{2}$.

Proof. For the sake of clarity, we only prove here that there is a short path $p(a, b)$ between a and b , and defer the calculation of the actual stretch factor t to the Appendix. We refer to an edge or a path as *short* if its length is within a constant factor of $|ab|$. Assume without loss of generality that $\vec{ab} \in Q_1(a)$. If $\vec{ab} \in Y_4$, then $p(a, b) = ab$ and the proof is finished. So assume the opposite, and let \vec{ac} be the edge in Y_4 that lies in $Q_1(a)$; since $Q_1(a)$ is nonempty, \vec{ac} exists. Because $\vec{ac} \in Y_4$ and b is in the same quadrant of a as c , we have that

$$\begin{aligned} |ac| &\leq |ab| & (i) \\ |bc| &< |ac|\sqrt{2} & (ii). \end{aligned} \quad (11)$$

Inequality (ii) above follows immediately from the Law of Cosines, which implies that $|bc|^2 < |ab|^2 + |ac|^2$ (because the angle formed by ab and ac is strictly less than $\pi/2$), and the fact that $|ac| \leq |ab|$. Thus both ac and bc are short. And this in turn implies that $\mathcal{P}_R(b \rightarrow c)$ is short by **S1**. We next focus on $\mathcal{P}_R(b \rightarrow c)$. For simplicity, we assume that ac is counterclockwise of ab ; the situation when ac lies clockwise of ab is symmetrical. Let $b' \notin R(b, c)$ be the other endpoint of $\mathcal{P}_R(b \rightarrow c)$. We distinguish three cases.

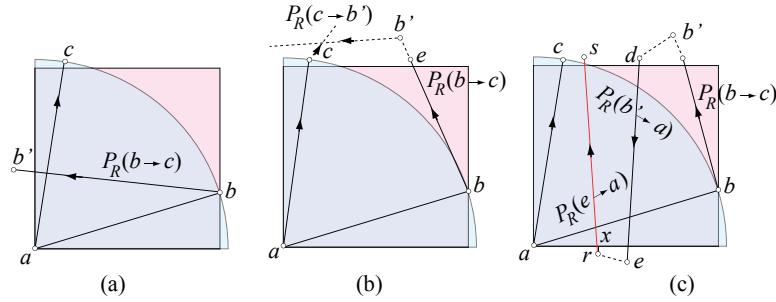


Fig. 6. Lemma 9: (a) Case 1: $\mathcal{P}_R(b \rightarrow c)$ and ac have a nonempty intersection. (b) Case 2: $\mathcal{P}_R(b' \rightarrow a)$ and ab have an empty intersection. (c) Case 3: $\mathcal{P}_R(b' \rightarrow a)$ and ab have a non-empty intersection.

Case 1: $\mathcal{P}_R(b \rightarrow c)$ and ac intersect (see Fig. 6a). Then by **S3** there is a short path $p(a, b)$ between a and b .

Case 2: $\mathcal{P}_R(b \rightarrow c)$ and ac do not intersect, and $\mathcal{P}_R(b' \rightarrow a)$ and ab do not intersect (see Fig. 6b). Note that because b' is the endpoint of the short path $\mathcal{P}_R(b \rightarrow c)$, the triangle inequality on $\triangle abb'$ implies that ab' is short, and therefore $\mathcal{P}_R(b' \rightarrow a)$ is short, by **S1**. We consider two cases:

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(i) $\mathcal{P}_R(b' \rightarrow a)$ intersects ac . Then by **S3** there is a short path $p(a, b')$. So

$$p(a, b) = p(a, b') \oplus \mathcal{P}_R^{-1}(b \rightarrow c)$$

is short.

(ii) $\mathcal{P}_R(b' \rightarrow a)$ does not intersect ac . Then $\mathcal{P}_R(c \rightarrow b')$ must intersect $\mathcal{P}_R(b \rightarrow c) \oplus \mathcal{P}_R(b' \rightarrow a)$. Next we establish that $b'c$ is short. Let $\vec{eb'}$ be the last edge of $\mathcal{P}_R(b \rightarrow c)$, and so incident to b' (note that e and b may coincide). Because $\mathcal{P}_R(b \rightarrow c)$ does not intersect ac , b' and c are in the same quadrant for e . It follows that $|eb'| \leq |ec|$ and $\angle b'ec < \pi/2$. These observations along with Proposition 2 for $\triangle b'ec$ imply that $|b'c|^2 < |b'e|^2 + |ec|^2 \leq 2|ec|^2 < 2|bc|^2$ (this latter inequality uses the fact that $\angle bec > \pi/2$, which implies that $|ec| < |bc|$). It follows that

$$|b'c| \leq |bc|\sqrt{2} \leq 2|ac| \quad (\text{by (11)ii}). \quad (12)$$

Thus $b'c$ is short, and by **S1** we have that $\mathcal{P}_R(c \rightarrow b')$ is short. Since $\mathcal{P}_R(c \rightarrow b')$ intersects the short path $\mathcal{P}_R(b \rightarrow c) \oplus \mathcal{P}_R(b' \rightarrow a)$, there is by **S3** a short path $p(c, b)$, and so

$$p(a, b) = ac \oplus p(c, b)$$

is short.

Case 3: $\mathcal{P}_R(b \rightarrow c)$ and ac do not intersect, and $\mathcal{P}_R(b' \rightarrow a)$ intersects ab (see Fig. 6c). If $\mathcal{P}_R(b' \rightarrow a)$ intersects ab at a , then $p(a, b) = \mathcal{P}_R(b \rightarrow c) \oplus \mathcal{P}_R(b' \rightarrow a)$ is short. So assume otherwise, in which case there is an edge $\vec{de} \in \mathcal{P}_R(b' \rightarrow a)$ that crosses ab . Then $d \in Q_1(a)$, $e \in Q_3(a) \cup Q_4(a)$, and e and a are in the same quadrant for d . Note however that e cannot lie in $Q_3(a)$, since in that case $\angle dae > \pi/2$, which would imply $|de| > |da|$, which in turn would imply $\vec{de} \notin Y_4$. So it must be that $e \in Q_4(a)$.

Next we show that $\mathcal{P}_R(e \rightarrow a)$ does not cross ab . Assume the opposite, and let $\vec{rs} \in \mathcal{P}_R(e \rightarrow a)$ cross ab . Then $r \in Q_4(a)$, $s \in Q_1(a) \cup Q_2(a)$, and s and a are in the same quadrant for r . Arguments similar to the ones above show that $s \notin Q_2(a)$, so s must lie in $Q_1(a)$. Let δ be the L_∞ distance from a to b . Let x be the projection of r on the horizontal line through a . Then

$$|rs| \geq |rx| + \delta \geq |rx| + |xa| > |ra| \quad (\text{by the triangle inequality})$$

Because a and s are in the same quadrant for r , the inequality above contradicts $\vec{rs} \in Y_4$.

We have established that $\mathcal{P}_R(e \rightarrow a)$ does not cross ab . Then $\mathcal{P}_R(a \rightarrow e)$ must intersect $\mathcal{P}' = de \oplus \mathcal{P}_R(e \rightarrow a)$. Note that de is short because it is in the short path $\mathcal{P}_R(b' \rightarrow a)$. Thus ae is short (because $|ae| < |ai| + |ei| < |ab| + |ed|$, where i is the intersection point between ab and de), and so $\mathcal{P}_R(a \rightarrow e)$ and $\mathcal{P}_R(e \rightarrow a)$ are short,

by **S1**. Then the short path $\mathcal{P}_R(a \rightarrow e)$ intersects either de or $\mathcal{P}_R(e \rightarrow a)$, each of which is short, and by **S3** there is a short path $p(a, e)$. Then

$$p(a, b) = p(a, e) \oplus \mathcal{P}_R^{-1}(b' \rightarrow a) \oplus \mathcal{P}_R^{-1}(b \rightarrow c)$$

is short. Straightforward calculations detailed in the appendix show that, in each of these cases, the stretch factor for $p(a, b)$ does not exceed $26 + 23\sqrt{2}$. \square

Our main result follows immediately from Theorem 1 and Lemma 9:

Theorem 2. Y_4 is a t -spanner, for $t \geq 8\sqrt{2}(26 + 23\sqrt{2})$.

5. Conclusion

Our results settle a long-standing open problem, asking whether Y_4 is a spanner or not. We answer this question positively, and establish a loose stretch factor of $8\sqrt{2}(26 + 23\sqrt{2})$. Finding tighter stretch factors for both Y_4^∞ and Y_4 remain interesting open problems. Establishing whether or not Y_5 is a spanner is also open.

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6. Appendix

6.1. Proof of Lemma 4

For any node $a \in V$, let $D(a, r)$ denote the open disk centered at a of radius r , and let $\partial D(a, r)$ denote the boundary of $D(a, r)$.

Proof. The first part of the lemma is a well-known fact that holds for any quadrilateral (see Ref. ⁷, for instance). For the second part of the lemma, let ab be the shorter of the diagonals of $abcd$, and assume without loss of generality that $\vec{ab} \in Q_1(a)$. Imagine two disks $D_a = D(a, |ab|)$ and $D_b = D(b, |ab|)$, as in Fig. 7a. If either c or d belongs to $D_a \cup D_b$, then the lemma follows: a shortest quadrilateral edge is shorter than $|ab|$.

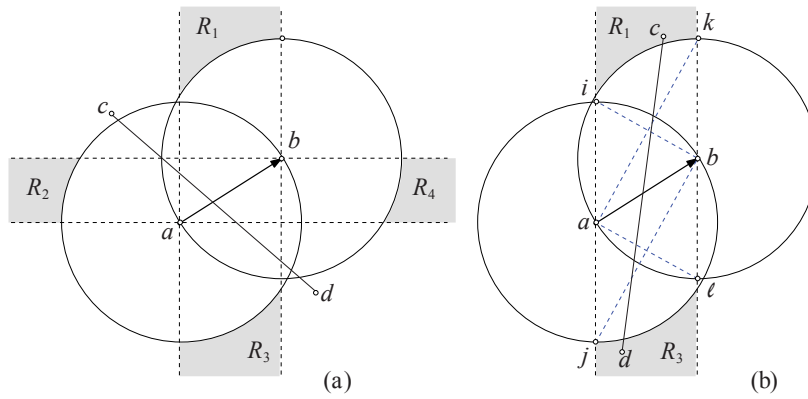


Fig. 7. Lemma 4 (a) $c \notin R_1 \cup R_2 \cup R_3 \cup R_4$ (b) $c \in R_1$.

So suppose that neither \vec{c} nor d lies in $D_a \cup D_b$. In this case, we use the fact that cd crosses ab to show that \vec{cd} cannot be an edge in Y_4 . Define the following regions (see Fig. 7a):

$$\begin{aligned} R_1 &= (Q_1(a) \cap Q_2(b)) \setminus (D_a \cup D_b) \\ R_2 &= (Q_2(a) \cap Q_3(b)) \setminus (D_a \cup D_b) \\ R_3 &= (Q_4(a) \cap Q_3(b)) \setminus (D_a \cup D_b) \\ R_4 &= (Q_1(a) \cap Q_4(b)) \setminus (D_a \cup D_b). \end{aligned}$$

If the node c is not inside any of the regions R_i , for $i = \{1, 2, 3, 4\}$, then the nodes a and b are in the same quadrant of c as d . In this case, note that either $\angle cad > \pi/2$ or $\angle cbd > \pi/2$, which implies that either $|ca|$ or $|cb|$ is strictly smaller than $|cd|$. These together show that $\vec{cd} \notin Y_4$.

So assume that c is in R_i for some $i \in \{1, 2, 3, 4\}$. In this situation, the node d must lie in the region R_j , with $j = (i + 2) \bmod 4$ (with the understanding that

$R_0 = R_4$), because otherwise, either (i) a and d are in the same quadrant of c and $|ca| < |cd|$ or (ii) b and d are in the same quadrant of c and $|cb| < |cd|$. Either case contradicts the fact $\vec{cd} \in Y_4$. Consider now the case $c \in R_1$ and $d \in R_3$; the other cases are treated similarly. Let i and j be the intersection points between D_a and the vertical line through a . Similarly, let k and ℓ be the intersection points between D_b and the vertical line through b (see Fig. 7b). Since ij is a diameter of D_a , we have that $\angle ibj = \pi/2$ and similarly $\angle kal = \pi/2$. Also note that $\angle cbd \geq \angle ibj = \pi/2$, meaning that $|cd| > |cb|$. Similarly, $\angle cad \geq \angle kal = \pi/2$, meaning that $|cd| > |ca|$. These along with the fact that at least one of a and b is in the same quadrant for c as d , imply that $\vec{cd} \notin Y_4$. This completes the proof. \square

6.2. Proof of Lemma 5

Proof. The proof consists of two parts showing that the following claims hold: (I) $d \in Q_2(a)$ and (II) $\mathcal{P}_R(d \rightarrow a)$ does not cross ab . Before we prove these two claims, let us argue that they are sufficient to prove the lemma. Lemma 3 and claim (I) imply that $\mathcal{P}_R(a \rightarrow d)$ cannot cross cd , because $\mathcal{P}_R(a \rightarrow d) \in Q_2(a)$ and $cd \in Q_2(c)$ are in quadrants of identical indices. As a result, $\mathcal{P}_R(a \rightarrow d)$ intersects the left side of the rectangle $R(d, a)$. Consider the last edge \vec{xy} of the path $\mathcal{P}_R(d \rightarrow a)$. If this edge crosses the right side of $R(a, d)$, then claim (II) implies that y is in the wedge bounded by ab and the upwards vertical ray starting at a ; this further implies that $|ay| < |ab|$, contradicting the fact that \vec{ab} is an edge in Y_4 . Therefore, \vec{xy} intersects the bottom side of $R(d, a)$, and the lemma follows (see Fig. 8b).

To prove the first claim (I), we observe that the lemma assumptions imply that $d \in Q_1(a) \cup Q_2(a)$. Therefore, it suffices to prove that d is not in $Q_1(a)$. Assume to the contrary that $d \in Q_1(a)$. Since $c \in Q_1(a)$, it must be that $b \in Q_2(c)$; otherwise, $\angle acb \geq \pi/2$, which implies $|ab| > |ac|$, contradicting the fact that $\vec{ab} \in Y_4$. Let i and j be the intersection points between cd and $\partial D(a, |ab|)$, where i is to the left of j . Since $\angle dbc \geq \angle ibj > \pi/2$, we have $|cb| < |cd|$. This, together with the fact that b and d are in the same quadrant $Q_2(c)$, contradicts the assumption that \vec{cd} is an edge in Y_4 . This completes the proof of claim (I).

Next we prove claim (II) by contradiction. Thus, we assume that there is an edge \vec{xy} on the path $\mathcal{P}_R(d \rightarrow a)$ that crosses ab . Then necessarily $x \in R(a, d)$ and $y \in Q_1(a) \cup Q_4(a)$. If $y \in Q_4(a)$, then $\angle xay > \pi/2$, meaning that $|xy| > |xa|$, a contradiction to the fact that $\vec{xy} \in Y_4$. Thus, it must be that $y \in Q_1(a)$, as in Fig. 8a. This implies that $|ab| \leq |ay|$, because $\vec{ab} \in Y_4$.

The contradiction to our assumption that \vec{xy} crosses ab will be obtained by proving that $|xy| > |xa|$. Indeed, this inequality contradicts the fact that $\vec{xy} \in Y_4$, because both a and y are in $Q_4(x)$, and Y_4 would have picked \vec{xa} in place of \vec{xy} .

Let δ be the distance from x to the horizontal line through a . Our intermediate goal is to show that

$$\delta \leq |ab|/\sqrt{2}. \quad (13)$$

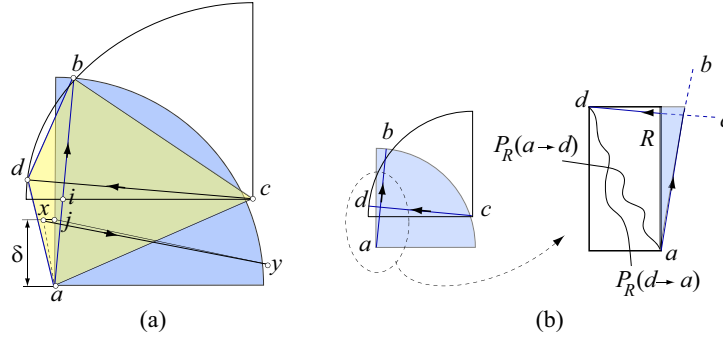


Fig. 8. (a) Lemma 5: $xy \in \mathcal{P}_R(d \rightarrow a)$ cannot cross ab .

We claim that $\angle acb < \pi/2$. Indeed, if this is not the case, then $|ac| < |ab|$, contradicting the fact that \overrightarrow{ab} is an edge in Y_4 . By a similar argument, and using the fact that \overrightarrow{cd} is an edge in Y_4 , we obtain the inequality $\angle cbd < \pi/2$. We now consider two cases, depending on the relative lengths of ac and cb .

- (1) Assume first that $|ac| \geq |cb|$. If $\angle cad \geq \pi/2$, then $|cd| \geq |ac| > |cb|$, contradicting the fact that \overrightarrow{cd} is an edge in Y_4 (recall that b and d are in the same quadrant of c). Therefore, we have $\angle cad < \pi/2$. So far we have established that three angles of the convex quadrilateral $acbd$ are acute. It follows that the fourth one ($\angle adb$) is obtuse. Proposition 2 applied to $\triangle adb$ tells us that

$$|ab|^2 > |ad|^2 + |db|^2 \geq 2|ad|^2,$$

where the latter inequality follows from the assumption that ad is a shortest side of $acbd$ (and, therefore, $|db| \geq |ad|$). Thus, we have that $|ad| \leq |ab|/\sqrt{2}$. This along with the fact that $x \in R(a, d)$ implies inequality (13).

- (2) Assume now that $|ac| \leq |cb|$. Let i be the intersection point between ab and the horizontal line through c (refer to Fig. 8a). Note that $\angle aic \geq \pi/2$ and $\angle bic \leq \pi/2$ (these two angles sum to π). This along with Proposition 2 applied to triangle $\triangle aic$ shows that

$$|ac|^2 \geq |ai|^2 + |ic|^2.$$

Similarly, Proposition 2 applied to triangle $\triangle bic$ shows that

$$|bc|^2 \leq |bi|^2 + |ic|^2.$$

The two inequalities above along with our assumption that $|ac| \leq |cb|$ imply that $|ai| \leq |bi|$, which in turn implies that $|ai| \leq |ab|/2$, because $|ai| + |ib| = |ab|$. Since x is below i (otherwise, $|cx| < |cd|$, contradicting the fact that \overrightarrow{cd} is an edge in Y_4), we have $\delta \leq |ai|$. It follows that $\delta \leq |ab|/2$.

Finally we derive a contradiction using the now established inequality (13). Let j be the orthogonal projection of x onto the vertical line through a (thus $|aj| = \delta$).

Note that $\angle ajy < \pi/2$, because $y \in Q_4(x)$. By Proposition 2 applied to $\triangle ajy$, we have

$$|ay|^2 < |aj|^2 + |jy|^2 = \delta^2 + |jy|^2.$$

Since y and b are in the same quadrant of a , and since $\vec{ab} \in Y_4$, we have that $|ab| \leq |ay|$. This along with the inequality above and (13) implies that $|jy| \geq |ab|/\sqrt{2} \geq \delta$. By Proposition 2 applied to $\triangle xjy$, we have $|xy|^2 > |xj|^2 + |jy|^2 \geq |xj|^2 + \delta^2 = |xj|^2 + |ja|^2 = |xa|^2$. It follows that $|xy| > |xa|$, contradicting our assumption that $\vec{xy} \in Y_4$. \square

6.3. Proof of Lemma 6

Proof. We first show that $d \notin Q_3(a)$. Assume the opposite. Since $c \in Q_1(a)$ and

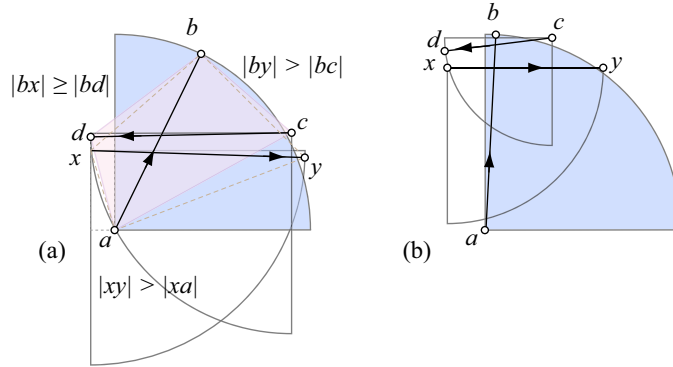


Fig. 9. Lemma 6: (a) $\mathcal{P}_R(d \rightarrow a)$ does not cross ab . (b) If ad is not the shortest side of $acbd$, the lemma conclusion might not hold.

$d \in Q_3(a)$, we have that $\angle cad > \pi/2$. This implies that $|ca| < |cd|$, which along with the fact that $a, d \in Q_3(c)$ contradict the fact that $\vec{cd} \in Y_4$. Also note that $d \notin Q_1(a)$, since in that case ab and cd could not intersect. In the following we discuss the case $d \in Q_2(a)$; the case $d \in Q_4(a)$ is symmetric.

A first observation is that c must lie below b ; otherwise $|cb| < |cd|$ (since $\angle cbd > \pi/2$), which would contradict the fact that $\vec{cd} \in Y_4$. We now prove by contradiction that there is no edge in $\mathcal{P}_R(d \rightarrow a)$ crossing ab . Assume the contrary, and let $\vec{xy} \in \mathcal{P}_R(d \rightarrow a)$ be such an edge. Then necessarily $x \in R(a, d)$ and $\vec{xy} \in Q_4(x)$. Note that y cannot lie below a ; otherwise $|xa| < |xy|$ (since $\angle xay > \pi/2$), which would contradict the fact that $\vec{xy} \in Y_4$. Also y must lie outside $D(c, |cd|) \cap Q(c, d)$, otherwise \vec{cd} could not be in Y_4 . These together show that y sits to the right of c . See Fig. 9a. Then the following inequalities regarding the quadrilateral $xyab$ must hold:

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- (i) $|by| > |bc|$, due to the fact that $\angle bcy > \pi/2$.
- (ii) $|bx| \geq |bd|$ ($|bx| = |bd|$ if x and d coincide). If x and d are distinct, the inequality $|bx| > |bd|$ follows from the fact that $|cx| \geq |cd|$ (since x is outside $D(c, |cd|)$), and Proposition 1 applied to the quadrilateral $xcbd$:

$$|bd| + |cx| < |bx| + |cd|$$

Inequalities (i) and (ii) show that by and bx are longer than sides of the quadrilateral $acbd$, and so they must be longer than the shortest side of $acbd$, which by assumption (ii) of the lemma is ad : $\min\{|bx|, |by|\} \geq |ad| \geq |ax|$ (this latter inequality follows from the fact that $x \in R(d, a)$). Also note that $|ab| \leq |ay|$, since $\vec{ab} \in Y_4$ and y lies in the same quadrant of a as b . The fact that both diagonals of $xayb$ are in Y_4 enables us to apply Lemma 4(ii) to conclude that ay is not a shortest side of the quadrilateral $xayb$. Thus xa is a shortest side of the quadrilateral $xayb$, and we can use Lemma 4(ii) to claim that

$$|xa| < \min\{|xy|, |ab|\} \leq |xy|.$$

This contradicts our assumption that $\vec{xy} \in Y_4$. □

Fig. 9(b) shows that the claim of the lemma might be false without assumption (ii).

6.4. Calculations for the stretch factor of $p(a, b)$ in Lemma 9

We start by computing the stretch factor of the short paths claimed by statements **S2** and **S3**.

S2 If $xy \in Y_4$ and $zw \in Y_4$ are short, and if xy intersects zw , then there is a short path P between any two of the endpoints of these edges, of length

$$|P| \leq |xy| + |zw| + 3(2 + \sqrt{2}) \max\{|xy|, |zw|\}. \quad (14)$$

This upper bound can be derived as follows. Let ij be a shortest side of the quadrilateral $xzyw$. By Lemma 8, Y_4 contains a path $p(i, j)$ no longer than $6(\sqrt{2} + 1)|ij|$. By Lemma 4, $|ij| \leq \max\{|xy|, |zw|\}/\sqrt{2}$. These together with the fact that $|P| \leq |xy| + |zw| + |p(i, j)|$ yield inequality (14).

S3 Here we prove a tighter version of this statement: If $p(x, y)$ and $p(z, w)$ are short paths that intersect, then there is a short path P between any two of the endpoints of these paths, of length

$$|P| \leq |p(x, y)| + |p(z, w)| + 3(2 + \sqrt{2}) \max\{|xy|, |zw|\}. \quad (15)$$

This follows immediately from **S2** and the fact that no edge of $p(x, y) \cup p(z, w)$ is longer than $\max\{|xy|, |zw|\}$ (by Lemma 8).

Case 1: $\mathcal{P}_R(b \rightarrow c)$ and ac intersect. Then by **S3** we have

$$\begin{aligned}
|p(a, b)| &\leq |\mathcal{P}_R(b, c)| + |ac| + 3(2 + \sqrt{2}) \max\{|bc|, |ac|\} \\
&\leq \sqrt{2}|bc| + |ac| + 3(2 + \sqrt{2})\sqrt{2}|ac| && \text{(by (7), (11)ii)} \\
&= 3(3 + 2\sqrt{2})|ac| \leq 3(3 + 2\sqrt{2})|ab| && \text{(by (11)i)}.
\end{aligned}$$

Case 2(i): $\mathcal{P}_R(b \rightarrow c)$ and ac do not intersect; $\mathcal{P}_R(b' \rightarrow a)$ and ab do not intersect; and $\mathcal{P}_R(b' \rightarrow a)$ intersects ac . By **S3**, there is a short path $p(a, b')$ of length

$$\begin{aligned}
|p(a, b')| &\leq |\mathcal{P}_R(b', a)| + |ac| + 3(2 + \sqrt{2}) \max\{|b'a|, |ac|\} \\
&\leq |b'a|\sqrt{2} + |ac| + 3(2 + \sqrt{2}) \max\{|b'a|, |ac|\} && \text{(by (7)).} \quad (16)
\end{aligned}$$

Next we establish an upper bound on $|b'a|$. By the triangle inequality,

$$|ab'| < |ac| + |cb'| \leq 3|ac| \quad \text{(by (12)).} \quad (17)$$

Substituting this inequality in (16) yields

$$|p(a, b')| \leq (19 + 12\sqrt{2})|ac|. \quad (18)$$

Thus $p(a, b) = p(a, b') \oplus \mathcal{P}_R^{-1}(b \rightarrow c)$ is a path in Y_4 of length

$$\begin{aligned}
|p(a, b)| &\leq |p(a, b')| + |bc|\sqrt{2} && \text{(by (7))} \\
&\leq |p(a, b')| + 2|ac| && \text{(by (11)ii)} \\
&\leq (21 + 12\sqrt{2})|ac| && \text{(by (18))} \\
&\leq (21 + 12\sqrt{2})|ab| && \text{(by (11)i)}.
\end{aligned}$$

Case 2(ii): $\mathcal{P}_R(b \rightarrow c)$ and ac do not intersect; $\mathcal{P}_R(b' \rightarrow a)$ and ab do not intersect; and $\mathcal{P}_R(b' \rightarrow a)$ does not intersect ac . Then $\mathcal{P}_R(c \rightarrow b')$ must intersect $\mathcal{P}_R(b \rightarrow c) \oplus \mathcal{P}_R(b' \rightarrow a)$. By **S3** there is a short path $p(c, b)$ of length

$$\begin{aligned}
|p(c, b)| &\leq |\mathcal{P}_R(c \rightarrow b')| + |\mathcal{P}_R(b \rightarrow c)| + |\mathcal{P}_R(b' \rightarrow a)| + 3(2 + \sqrt{2}) \max\{|cb'|, |bc|, |b'a|\} \\
&\leq (|cb'| + |bc| + |b'a|)\sqrt{2} + 3(2 + \sqrt{2}) \max\{|cb'|, |bc|, |b'a|\} && \text{(by (7)).}
\end{aligned}$$

Inequalities (11)ii, (12) and (17) imply that $\max\{|cb'|, |bc|, |b'a|\} \leq 3ac$. Substituting in the above, we get

$$\begin{aligned}
|p(c, b)| &\leq (2 + \sqrt{2} + 3)\sqrt{2}|ac| + 9(2 + \sqrt{2})|ac| \\
&\leq (20 + 14\sqrt{2})|ac| && \text{(by (11)i)}.
\end{aligned}$$

Thus $p(a, b) = ac \oplus p(c, b)$ is a path in Y_4 from a to b of length

$$|p(a, b)| \leq (21 + 14\sqrt{2})|ac| \leq (21 + 14\sqrt{2})|ab| \quad \text{(by (11)i)}.$$

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Case 3: $\mathcal{P}_R(b \rightarrow c)$ and ac do not intersect, and $\mathcal{P}_R(b' \rightarrow a)$ intersects ab . If $\mathcal{P}_R(b' \rightarrow a)$ intersects ab at a , then $p(a, b) = \mathcal{P}_R(b \rightarrow c) \oplus \mathcal{P}_R(b' \rightarrow a)$ is clearly short and does not exceed the spanning ratio of the lemma. Otherwise, there is an edge $\vec{de} \in \mathcal{P}_R(b' \rightarrow a)$ that crosses ab , and $\mathcal{P}_R(a \rightarrow e)$ intersects $de \oplus \mathcal{P}_R(e \rightarrow a)$ (as established in the proof of Lemma 9). If $\mathcal{P}_R(a \rightarrow e)$ intersects de , then by **S3** there is a short path $p(a, e)$ of length

$$|p(a, e)| \leq |\mathcal{P}_R(a \rightarrow e)| + |de| + 3(2 + \sqrt{2}) \max\{|ae|, |de|\} \quad (19)$$

Otherwise, if $\mathcal{P}_R(a \rightarrow e)$ intersects $\mathcal{P}_R(e \rightarrow a)$, then by **S3** there is a short path $p(a, e)$ of length

$$|p(a, e)| \leq |\mathcal{P}_R(a \rightarrow e)| + |\mathcal{P}_R(e \rightarrow a)| + 3(2 + \sqrt{2})|ae| \quad (20)$$

A loose upper bound on $|ae|$ can be obtained by employing Proposition 1 to the quadrilateral $aebd$: $|ae| + |bd| < |ab| + |de| < |ab| + |ab'|$. Substituting the upper bound for ab' from (17) yields

$$|ae| < |ab| + 3|ac| \leq 4|ab|. \quad (21)$$

By Lemma 2, $|de| \leq |ab'|$ (since $de \in \mathcal{P}_R(b' \rightarrow a)$), which along with (17) implies

$$|de| \leq 3|ab|. \quad (22)$$

Substituting inequalities (7), (21) and (22) in (19) yields

$$|p(a, e)| \leq (27 + 16\sqrt{2})|ab|.$$

Substituting inequalities (7) and (21) in (20) gives

$$|p(a, e)| \leq (24 + 20\sqrt{2})|ab|,$$

which is a looser upper bound that applies to both cases. Then

$$p(a, b) = p(a, e) \oplus \mathcal{P}_R^{-1}(b' \rightarrow a) \oplus \mathcal{P}_R^{-1}(b \rightarrow c)$$

is a path from a to b of length

$$\begin{aligned} |p(a, b)| &\leq (24 + 20\sqrt{2})|ab| + 3\sqrt{2}|ab| + 2|ab| && \text{(by (23), (17), (11))} \\ &= (26 + 23\sqrt{2})|ab|. \end{aligned}$$